



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 09/902,688  
Applicant : Hideo OGIWARA et al.

Confirmation No.: 1610

Filed : July 12, 2001  
TC/AU : 1773  
Examiner : Holly C. RICKMAN

Docket No. : 008312/0282642  
Customer No.: 00909

RECEIVED  
SEP 23 2003  
TC 1700

AET/B  
A 6/B  
10/2/03

September 15, 2003

Mail Stop AF  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Sir:

In response to the Office Action dated June 12, 2003, the due date for which having been extended one (1) month to October 12, 2003, please amend this application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): Hideo OGAWARA

Appln. No.: 09 902,688

Series Code ↑

Serial No. ↑

Group Art Unit 1773

Examiner: Holly C. RICKMAN

Atty. Dkt. P 0282642

T7KK-01SO230

M#

Client Ref

Filed: July 12, 2001

Title: Perpendicular Magnetic Recording Medium and  
Perpendicular Magnetic Recording-Reproducing  
ApparatusR  
RCE  
EDO NOT USE FOR PROVISIONAL,  
DIVISIONAL, CIP OR DESIGN  
APPLICATIONS, OR REEXAMINATION OF  
PATENTS

Mail Stop RCE

Hon. Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Date:

September 15, 2003

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114**

Please continue the examination of this application.

**PREREQUISITES**This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee **has not been** paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).**Prosecution has been closed as defined in Rule 114(b).****Reply to any outstanding action must be enclosed or previously filed.**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

**Please consider the following before the next Official Action:**

1. Please ☐ enter ☐ do not enter the Amendment filed
2. ☒ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed \_\_\_ and reply brief
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement
  - ☐ IDS Letter ☐ Cited Appln ☐ Foreign Search Report/OA
  - ☐ PTO-1449 ☐ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of \_\_\_ months (3 mos. Max) for which charge the required \$130 fee (fee code 098) to our Deposit Account (see below).
7. Petition is hereby made to extend the **original** due date of 09/12/2003 to cover the date this Request is filed. **PLEASE CHARGE** the requisite fee to our Deposit Account (see below)
 

(1 mo)	\$110/\$55
(2 mos)	\$410/\$205 + 110.00
(3 mos)	\$930/\$465
8. **PLEASE CHARGE** the Rule 17(e) (RCE) filing fee of ☒ \$750 (lg. ent.) ☐ \$375 (sm. ent.) plus any deficiency and any other fee due now or later to our Deposit Account No. 03-3975 under Order No. 008312 / 0282642
 

C#	M#
008312	0282642

09/17/2003 MGBREM1 00000088 033975 09902688

01 FC:1801 750.00 DA  
02 FC:1201 84.00 DA  
03 FC:1251 110.00 DA**NOTE: Rule 17(e) filing fee Cannot be deferred!**  
**NO CLAIMS FEE REQUIRED** unless you are adding claims by box 2 Amendment in which case cover this with PAT-120.Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty: Glenn J. Perry

Reg. No. 28458

Sig:

Fax: (703) 905-2500

Tel: (703) 905-2161

P.O. Box 10500  
McLean, VA 22102(703) 905-2000  
Atty/Sec: GJP/wks**NOTE: File this Request (plus enclosures, if any) in duplicate and with PTO receipt (PAT-103A)**

Inventor(s): OGIWARA et al.

Appl. No.: 09/902,688

Series Code ↑

Serial No. ↑

Filed: July 12, 2001

Mail Stop Amendment

Hon. Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Sir:

**REPLY/AMENDMENT/LETTER**

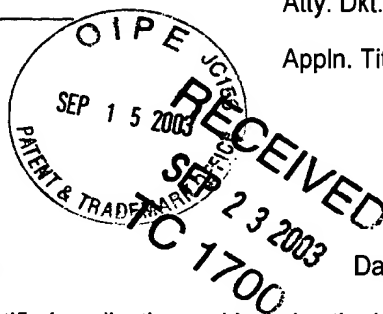
Group Art Unit 1773

Examiner: H.C. RICKMAN

Atty. Dkt. P 0282642 T7KK-02S0230

M#

Client Ref

Appl. Title: Perpendicular Magnetic Recording  
Medium and Perpendicular Magnetic  
Recording-Reproducing Apparatus

Date: September 15, 2003

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

1. Small Entity claim

A. ☒ NOT made  
 B. ☐ Withdrawn  
 C. ☐ made herewith  
 D. ☐ made previously

For B & C  
 See **Required  
 Separate Paper  
 (Pat-256)**

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	20	**minus 20 0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	4	***minus 3 1	x \$84/\$42 =	+ \$84	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)..... add			+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: September 14, 2003	<input type="checkbox"/> NONE				
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2 mos) (3 mos) (4 mos) (5 mos)	\$110/\$55 = \$410/\$205 = \$930/\$465 = \$1,450/\$725 = \$1,970/\$985 =	+ \$110		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract		- \$0			
8.			Extension Fee	+ \$110	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....		+ \$110/\$55	+ \$0		148/248
10. If IDS attached requires Official Fee under Rule 97 (c), ..... add		+ \$180	+ \$0		126
or if Rule 97(d) Request ..... add		+ \$180			126
11. After-Final Request Fee per rules 129(a) and 17(r) .....		+ \$750/370	+ \$0		146/246
12. No. of additional inventions for examination per Rule 129(b).....		x \$750/375 ea	+ \$0		149/249
13. Request for Continued Examination (RCE) .....		+ \$750/375	+ \$750		1179/1279
14. Petition fee for .....			+ \$0		

15.

TOTAL FEE = \$944

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

**PLEASE CHARGE  
OUR DEP. ACCT**

Our Deposit Account No. 03-3975)

(Our Order No. 008312 0282642

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP  
 Intellectual Property Group

By Atty: Glenn J. Perry

Sig:

Reg. No. 28458

Fax: (703) 905-2500

Tel: (703) 905-2161

P.O. Box 10500

McLean, VA 22102

Tel: (703) 905-2000

Atty/Sec: GJP/wks

**NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments**